

PART 1 - GENERAL REQUIREMENTS

1.01 DESCRIPTION OF WORK

- A. This Division requires the furnishing and installing of complete functioning systems, and each element thereof, as specified or indicated on the Drawings and Specifications or reasonably inferred; including every article, device or accessory (whether or not specifically called for by item) reasonably necessary to facilitate each system's functioning as indicated by the design and the equipment specified. Elements of the work include materials, labor, supervision, supplies, equipment, transportation, and utilities.
- B. Division 23 of the Specifications and Drawings numbered with prefixes M, MP or ME, or MEP generally describe these systems, but the scope of the Mechanical work includes all such work indicated in the Contract Documents: Instructions to Bidders; Proposal Form; General Conditions; Supplementary General Conditions; Architectural, Structural, Mechanical, Plumbing and Electrical Drawings and Specifications; and Addenda.
- C. The Drawings have been prepared diagrammatically intended to convey the scope of work, indicating the intended general arrangement of the equipment, fixtures, ductwork, piping, etc. without showing all the exact details as to elevations, offsets, control lines, and other installation requirements. The Contractor shall use the Drawings as a guide when laying out the work and shall verify that materials and equipment will fit into the designated spaces, and which, when installed per manufacturers requirements, will ensure a complete, coordinated, satisfactory and properly operating system.

1.02 QUALITY ASSURANCE

- A. All work under this Division shall be executed in a thorough professional manner by competent and experienced workmen licensed to perform the Work specified.
- B. All work shall be installed in strict conformance with manufacturers' requirements, recommendations, and installation instructions. Equipment and materials shall be installed in a neat and professional manner and shall be aligned, leveled, and adjusted for satisfactory operation.
- C. Material and equipment shall be new, shall be of the best quality and design, shall be current model of the manufacturer, shall be free from defects and imperfections and shall have markings or a nameplate identifying the manufacturer and providing sufficient reference to establish quality, size and capacity. Material and equipment of the same type shall be made by the same manufacturer whenever practicable.

- D. Unless specified otherwise, manufactured items shall have been installed and used, without modification, renovation, or repair for not less than one year prior to date of bidding for this project.

1.03 CODES, REFERENCES AND STANDARDS

- A. Execute Work in accordance with the National Fire Protection Association and all Local, State, and National codes, ordinances and regulations in force governing the particular class of Work involved. Obtain timely inspections by the constituted authorities, and upon final completion of the Work obtain and deliver to the Owner executed final certificates of acceptance from the Authority Having Jurisdiction.
- B. Any conflict between these Specifications and accompanying Drawings and the applicable Local, State and Federal codes, ordinances and regulations shall be reported to the Architect in sufficient time, prior to the opening of Bids, to prepare the Supplementary Drawings and Specification Addenda required to resolve the conflict.
- C. The governing codes are minimum requirements. Where these Drawings and Specifications exceed the code requirements, these Drawings and Specification shall prevail.
- D. All material, manufacturing methods, handling, dimensions, method or installation and test procedure shall conform to but not be limited to the following industry standards and codes:

BOCA	Building Officials Code Administration
IBC	International Building Code
IMC	International Mechanical Code
IPC	International Plumbing Code
IECC	International Energy Conservation Code
IFC	International Fire Code
IFGC	International Fuel Gas Code
ADA	American Disabilities Act
ADC	Air Diffusion Council
AEC	Arkansas Energy Code for New Building Construction Supplements and Amendments
AMCA	Air Movement and Control Association, Inc.
ANSI	American National Standards Institute
AHRI	Air Conditioning, Heating and Refrigeration Institute
ASHRAE	American Society of Heating Refrigerating and Air Conditioning Engineers
ASME	American Society of Mechanical Engineers
ASSE	American Society of Sanitary Engineering
ASTM	American Society of Testing Materials
AWS	American Welding Society
AWWA	American Water Works Association
CISPI	Cast Iron Soil Pipe Institute
ETL	Electrical Testing Laboratories
HI	Hydraulic Institute
MSS	Manufacturer's Standardization Society of the Valve and Fitting Industry

NBFU	National Board of Fire Underwriters
NEC	National Electrical Code
NFPA	National Fire Protection Association
NEMA	National Electrical Manufactures' Association
OSHA	Occupational Safety and Health Act
PDI	Plumbing and Drainage Institute
SMACNA	Sheet Metal and Air Conditioning Contractors National Association, Inc.
UL	Underwriter's Laboratories

- E. Contractor shall comply with rules and regulations of public utilities and municipal departments affected by connections of services.
- F. All mechanical work shall be performed in compliance with applicable safety regulations, including OSHA regulations. Safety lights, guards, shoring and warning signs required for the performance of the mechanical work shall be provided by the Contractor.

1.04 DEFINITIONS

A. General:

1. Furnish: The term “furnish” is used to mean “supply and deliver to the project site, ready for unloading, unpacking, assembly, installation and similar operations.”
2. Install: The term “install” is used to describe operations at the project site including the actual “unloading, unpacking, assembly, erection, placing, anchoring, applying, working to dimension, finishing, curing, protecting, cleaning, and similar operations.”
3. Provide: The term “provide” means “to furnish and install, complete and ready for the intended use. When ‘furnish’, ‘install’, ‘perform’, or ‘provide’ is not used in connection with services, materials, or equipment in a context clearly requiring an obligation of Contractor, “provide” is implied.
4. Furnished by Owner or Furnished by Others: The item will be furnished by the Owner or Others. It is to be installed and connected under the requirements of this Division, complete and ready for operation, including items incidental to the Work, including services necessary for proper installation and operation. The installation shall be included under the guarantee required by this Division.
5. Engineer: Where referenced in this Division, “Engineer” is the Engineer of Record and the Design Professional for the Work under this Division, and is a Consultant to, and an authorized representative of, the Architect, as defined in the General and/or Supplementary Conditions. When used in this Division, it means increased involvement by, and obligations to, the Engineer, in addition to involvement by, and obligations to, the “Architect”.
6. AHJ: The local code and/or inspection agency (Authority) Having Jurisdiction over the Work.
7. NRTL: Nationally Recognized Testing Laboratory, as defined and listed by OSHA in 29 CFR 1910.7 (e.g., UL, ETL, CSA, etc.), and acceptable to the

Authority having Jurisdiction (AHJ) over this project. Nationally Recognized Testing Laboratories and standards listed are used only to represent the characteristics required and are not intended to restrict the use of other listed Manufacturers and models that meet the specified criteria.

8. Substitution: Changes in products, materials, equipment, and methods of construction from those required by the Contract Documents and proposed by Contractor. Substitutions include Value Engineering proposals.
 - a) Substitutions for Cause: Changes proposed by Contractor that are required due to changed Project conditions, such as unavailability of product, regulatory changes, or unavailability of required warranty terms.
 - b) Substitutions for Convenience: Changes proposed by Contractor or Owner that are not required in order to meet other Project requirements but may offer advantage to Contractor or Owner.
 9. Value Engineering: A systematic method to improve the “value” of goods and services by using an examination of function. Value, as defined, is the ratio of function to cost. Value can therefore be increased by either improving the function or reducing the cost. The goal of VE is to achieve the desired function at the lowest overall cost consistent with required performance.
- B. The terms "approved equal", “equivalent”, or "equal" are used synonymously and shall mean “accepted by or acceptable to the Engineer as equivalent to the item or manufacturer specified”. The term "approved" shall mean labeled, listed, or both, by an NRTL, and acceptable to the AHJ over this project.
- C. The following definitions apply to excavation operations:
1. Additional Excavation: Where excavation has reached required subgrade elevations, if unsuitable bearing materials are encountered, continue excavation until suitable bearing materials are reached. The Contract Sum may be adjusted by an appropriate Contract Modification.
 2. Bedding: Bedding as used in this section refers to the compacted sand or pea gravel installed in the bottom of a trench to immediately support and cover a pipe or duct.
 3. Subbase: as used in this Section refers to the compacted soil layer used in pavement systems between the subgrade and the pavement base course material.
 4. Subgrade: as used in this Section refers to the compacted soil immediately below the slab or pavement system.
 5. Unauthorized excavation consists of removal of materials beyond indicated subgrade elevations or dimensions without specific direction from the Architect.
 6. Building Fill: Building fill as used in this section refers to borrowed fill material of rock 1” and larger used to fill foundation excavations

1.05 COORDINATION

- A. The Contractor shall visit the site and ascertain the conditions to be encountered while installing the Work under this Division, verify all dimensions and locations before purchasing equipment or commencing work, and make due provision for same in the bid. Failure to comply with this requirement shall not be considered justification for omission, alteration, incorrect or faulty installation of Work under this Division or for additional compensation for Work covered by this Division.
- B. The Contractor shall refer to Drawings of the other disciplines and to relevant equipment drawings and shop drawings to determine the extent of clear spaces. The Contractor shall make offsets required to clear equipment, beams and other structural members; and to facilitate concealing piping and ductwork in the manner anticipated in the design.
- C. The Contractor shall confirm and coordinate the final location and routing of all mechanical, electrical, plumbing, fire protection, control and audio-visual systems with all architectural features, structural components, and other trades. The contractor shall locate equipment, components, ductwork, piping, conduit, and related accessories to maintain the desired ceiling heights as indicated on the architectural drawings. The contractor shall inform the architect of any areas where conflicts may prevent the indicated ceiling height from being maintained. The contractor shall not proceed with any installation in such areas until the architect has given written approval to proceed or has provided modified contract drawings or written instructions to resolve the apparent conflict.
- D. The Contractor shall provide materials with trim which will fit properly the types of ceiling, wall, or floor finishes actually installed.
- E. The Contractor shall maintain a foreman on the jobsite at all times to coordinate the work with other contractors and subcontractors so that various components of the mechanical systems will be installed at the proper time, will fit the available space, and will allow proper service access to the equipment. Carry on the Work in such a manner that the Work of the other contractors and trades will not be handicapped, hindered, or delayed at any time.
- F. Work of this Division shall progress according to the "Construction Schedule" as established by the Prime Contractor and their subcontractors and as approved by the Architect. Cooperate in establishing these schedules and perform the Work under this Division, in a timely manner in conformance with the construction schedule so as to ensure successful achievement of schedule dates.

1.06 COORDINATION DRAWINGS

- A. Coordination Drawings, General: Prepare coordination drawings according to the requirements of individual Sections. Additionally, prepare coordination drawings as required scope of installation is not completely shown on Shop Drawings, where

limited space availability necessitates coordination, or if coordination is required to facilitate integration of products and materials fabricated or installed by more than one trade.

1. Information shall be project specific and drawn accurately to a scale large enough to resolve conflicts. Do not base coordination drawings on standard dimensional data.
2. Prepare floorplans, sections, elevations, and details as needed to adequately describe relationship of various systems and components.
3. Clearly indicate functional and spatial relationships of components of all systems specified in the Contract Documents, including but not limited to: architectural, structural, civil, mechanical, electrical, fire protection, and specialty systems.
4. Indicate space requirements for routine maintenance and for anticipated replacement of components during the life of the installation.
5. Show location and size of access doors required for access to concealed equipment, fittings, controls, terminations, and cabling.
6. Indicate required installation sequence to minimize conflicts between entities.
7. Indicate dimensions shown on the Drawings. Specifically note dimensions that appear to be in conflict with submitted equipment and minimum clearance requirements. Provide alternate sketches to Contract Administrator indicating proposed resolution of such conflicts. Minor dimension changes and difficult installations will not be considered changes to the Contract.
8. The details of the coordination are the responsibility of the Contractor and, where indicated on the Drawings, minor adjustments in raceway routing, device placement, device type, or equipment arrangement are not to be considered changes to the Contract.

B. Equipment Room Coordination Drawings: In accordance with the submittal procedures outlined within these Specifications, provide dimensioned layouts of electrical equipment locations within electrical rooms/closets, mechanical rooms, generator rooms, and fire pump rooms with equipment drawn to scale and identified therein.

1. Clearly identify all required working clearances and access provisions required for installation and maintenance.
2. Equipment layouts should be arranged accounting for considerations for required door openings and the clearances required by the equipment manufacturer.
3. Indicate path to allow for the future removal of each large piece of equipment (up to and including generators and unit sub-station transformers) without removal of non-related equipment or architectural elements.
4. Include work provided by others routed through the equipment rooms.

- C. Coordination Digital Data Files: Prepare coordination digital data files according to the following requirements:
1. File Preparation Format: Same digital data software program, version, and operating system as original Drawings.
 2. BIM File Incorporation: Develop and incorporate coordination drawing files into Building Information Model established for Project.
 - a) Perform three-dimensional component conflict analysis as part of preparation of coordination drawings. Resolve component conflicts prior to submittal. Indicate where conflict resolution requires modification of design requirements by Contract Administrator.
 3. Where Henderson Engineer's digital data files are provided to the Contractor for use in preparing coordination digital data files, Henderson Engineers makes no representations as to the accuracy or completeness of digital data files as they relate to the Drawings or Specifications.
 4. Submit coordination drawings in accordance with the submittal procedures outlined within these Specifications.

1.07 MEASUREMENTS AND LAYOUTS

- A. The drawings are schematic in nature, but show the various components of the systems approximately to scale and attempt to indicate how they are to be integrated with other parts of the building. Figured dimensions shall be taken in preference to scale dimensions. Determine exact locations by job measurements, by checking the requirements of other trades, and by reviewing the Contract Documents. The Contractor will be held responsible for errors which could have been avoided by proper checking and inspection.

1.08 SUBMITTALS

- A. Refer to Division 01 and General Conditions for submittal requirements in addition to requirements specified herein.
- B. Refer to Division 01 for acceptance of electronic submittals. If not specified by Division 01, provide electronic submittals. If Division 01 requires paper submittals, provide the quantity of submittals required, but no fewer than seven (7) sets.
- C. For electronic submittals, Contractor shall submit the documents in accordance with this Section and the procedures specified in Division 01. Contractor shall notify the Contract Administrator and Engineer that the submittals have been posted. If electronic submittal procedures are not defined in Division 01, Contractor shall include the website, username and password information needed to access the submittals. For submittals sent by e-mail, Contractor shall copy the Contract Administrator's and Engineer's designated representatives. Contractor shall allow for the Engineer Review Time as specified. Contractor shall submit only the documents required to purchase the materials and/or equipment in the submittal.

- D. Engineer Review Time: Transmit submittals as early as required to support the project schedule. Allow two weeks for Engineer review time plus to/from mailing time via the Contract Administrator, plus a duplication of this time for resubmittal if required. Transmit submittals as soon as possible after Notice to Proceed and before Mechanical construction starts.
- E. Submittals and shop drawings shall not contain the firm name, logo, seal, or signature of the Engineer. They shall not be copies of the work product of the Engineer. If the Contractor desires to use elements of such product, the license agreement for transfer of information obtained from the Engineer must be used.
- F. Assemble and submit for review manufacturer product literature for material and equipment to be furnished and/or installed under this Division. Literature shall include shop drawings, manufacturer product data, performance sheets, samples, and other submittals required by this Division as noted in each individual Section. General product catalog data not specifically noted to be part of the specified product will be rejected and returned without review.
- G. Separate submittals according to individual specification sections. Only resubmit those sections requested for resubmittal.
- H. Provide submittals in sufficient detail so as to demonstrate compliance with these Contract Documents and the design concept. Highlight, mark, list or indicate the materials, performance criteria and accessories that are being proposed. Illegible submittals will be rejected and returned without review.
- I. Refer to individual Sections for additional submittal requirements.
- J. Before transmitting submittals and material lists, verify that the equipment submitted is mutually compatible with and suitable for the intended use. Verify that the equipment will fit the available space and maintain manufacturer recommended service clearances. If the size of equipment furnished makes necessary any change in location, or configuration, submit a shop drawing showing the proposed layout.
- K. Submittals shall contain the following information:
 - 1. The project name.
 - 2. The applicable specification section and paragraph.
 - 3. Equipment identification acronym as used on the drawings.
 - 4. The submittal date.
 - 5. The Contractor's stamp, which shall certify that the stamped drawings have been checked by the Contractor, comply with the Drawings and Specifications, and have been coordinated with other trades.
 - 6. Submittals not so identified will be returned to the Contractor without action.

- L. The checking and subsequent acceptance by the Engineer and/or Contract Administrator of submittals shall not relieve responsibility from the Contractor for (1) deviations from Drawings and Specifications; (2) errors in dimensions, details, sizes of equipment, or quantities; (3) omissions of components or fittings; and (4) not coordinating items with actual building conditions and adjacent work. Contractor shall request and secure written acceptance from the Engineer and Contract Administrator prior to implementing any deviation.
- M. Provide welders' qualification certificates.
- N. BIM Incorporation: Develop and incorporate Shop Drawing files into BIM established for Project.

1.09 ELECTRONIC DRAWING FILES

- A. In preparation of shop drawings or record drawings, Contractor may, at their option, obtain electronic drawing files in AutoCAD or DXF format from the Engineer for a shipping and handling fee of \$200 for a drawing set up to 12 sheets and \$15 per sheet for each additional sheet. Contact the Architect for Architect's written authorization. Contractor shall request and complete the Electronic File Release Agreement form from the Engineer. Send the form along with a check made payable to Henderson Engineers, Inc. Contractor shall indicate the desired shipping method and drawing format on the attached form. In addition to payment, Architect's written authorization and Engineer's release agreement form must be received before electronic drawing files will be sent.

1.010 SUBSTITUTIONS

- A. Refer to Division 01 and General Conditions for Substitutions in addition to requirements specified herein.
- B. Materials, products, equipment, and systems described in the Bidding Documents establish a standard of required function, dimension, appearance and quality to be met by the proposed substitution.
- C. The base bid shall include only the products from manufacturers specifically named in the drawings and specifications.
- D. Request for Substitution:
 - 1. Complete and send the Substitution Request Form attached at the end of this section for each material, product, equipment, or system that is proposed to be substituted.
 - 2. The burden of proof of the merit of the proposed substitution is upon the proposer.
 - 3. Unless stated otherwise in writing to the Engineer by the Contractor, Contractor warrants to the Engineer, Architect, and Owner the following:

- a) Proposed substitution has been fully investigated and determined to meet or exceed the specified Work in all respects.
- b) Proposed substitution is consistent with the Contract Documents and will produce indicated results, including functional clearances, maintenance service, and sourcing of replacement parts.
- c) Proposed substitution has received necessary approvals of authorities having jurisdiction.
- d) Same warranty will be furnished for proposed substitution as for specified Work.
- e) If accepted substitution fails to perform as required, Contractor shall replace substitute material or system with that originally specified and bear costs incurred thereby.
- f) Coordination, installation and changes in the Work as necessary for accepted substitution will be complete in all respects.

E. Substitution Consideration:

- 1. No substitutions will be considered unless the Substitution Request Form is completed and attached with the appropriate substitution documentation.
- 2. No substitutions will be considered prior to receipt of Bids unless written request for approval to bid has been received by the Engineer at least ten (10) calendar days prior to the date for receipt of Bids.
- 3. If the proposed substitution is approved prior to receipt of Bids, such approval will be stated in an Addendum. Bidders shall not rely upon approvals made in any other manner. Verbal approval will not be given.
- 4. No substitutions will be considered after the Contract is awarded unless specifically provided in the Contract Documents.

1.011 OPERATION AND MAINTENANCE MANUALS

- A. Refer to Division 01 and General Conditions for Operation and Maintenance Manuals in addition to requirements specified herein.
- B. Submit manuals prior to requesting the final punch list and before all requests for Substantial Completion.
- C. Instruct the Owner's permanent personnel in the proper operation of, startup and shutdown procedures and maintenance of the equipment and components of the systems installed under this Division.
- D. Prior to Substantial Completion of the project, furnish to the Architect, for Engineer's review, and for the Owner's use, four (4) copies of Operation and Maintenance Manuals in labeled, hard-back three-ring binders, with cover, binding label, tabbed dividers and plastic insert folders for Record Drawings. Include local contacts, complete with address and telephone number, for equipment, apparatus, and system components furnished and installed under this Division of the specifications.

- E. Each manual shall contain data listed in each individual Section.
- F. Refer to Division 01 for acceptance of electronic manuals for this project. For electronic manuals, Contractor shall submit the documents in accordance with this Section and the procedures specified in Division 01. Contractor shall notify the Architect and Engineer that the manuals have been posted. If electronic manual procedures are not defined in Division 01, Contractor shall include the website, user name and password information needed to access the manuals. For manuals sent by e-mail, Contractor shall copy the Architect and Engineer's designated representative.

1.012 SPARE PARTS

- A. Provide to the Owner the spare parts specified in the individual sections in Division 23 of this specification.

1.013 RECORD DRAWINGS

- A. Refer to Division 01 and General Conditions for Record Drawings in addition to requirements specified herein.
- B. A set of work prints of the Contract Documents shall be kept on the jobsite during construction for the purpose of noting changes. During the course of construction, the Contractor shall indicate on these Documents changes made from the original Contract Documents. Particular attention shall be paid to those items which need to be located for servicing. Underground utilities shall be located by dimension from column lines.
- C. At the completion of the project, the Contractor shall obtain, at their expense, reproducible copies of the final drawings and incorporate changes noted on the jobsite work prints onto these drawings. These changes shall be done by a skilled drafter. Each sheet shall be marked "Record Drawing", along with the date. These drawings shall be delivered to the Architect/Engineer.

1.014 TRAINING

- A. Provide training as indicated in each specific section. Schedule training with the Owner at least 7 days in advance. Video record the training sessions in format as agreed to with the Owner. Provide three copies of each session to the Owner and obtain written receipt from the Owner.

1.015 PAINTING

- A. Exposed ductwork and ferrous surfaces, including pipe, pipe hangers, equipment stands and supports and exposed insulated piping shall be painted by the Contractor using materials and methods as specified under Division 09 of the Specifications; colors shall be as selected by the Architect.

- B. Factory finishes, shop priming and special finishes are specified in the individual equipment specification sections.
- C. Where factory finishes are provided and no additional field painting is specified, marred or damaged surfaces shall be touched up or refinished so as to leave a smooth, uniform finish.

1.016 DELIVERY, STORAGE AND HANDLING

- A. Refer to Division 01 and General Conditions for Delivery, Storage and Handling in addition to requirements specified herein.
- B. Equipment and material shall be delivered to the job site in their original containers with labels intact, fully identified with manufacturer's name, model, model number, type, size, capacity and Underwriter's Laboratories, Inc. labels and other pertinent information necessary to identify the item.
- C. Deliver, receive, handle and store equipment and materials at the job site in the designated area and in such a manner as to prevent equipment and materials from damage and loss. Store equipment and materials delivered to the site on pallets and cover with waterproof, tear resistant tarp or plastic or as required to keep equipment and materials dry. Follow manufacturer's recommendations, and at all times, take every precaution to properly protect equipment and material from damage, to include the erection of temporary shelters to adequately protect equipment and material stored at the Site. Equipment and/or material which become rusted or damaged shall be replaced or restored by the Contractor to a condition acceptable to the Architect.
- D. The Contractor shall be responsible for the safe storage of their own tools, material and equipment.

1.017 GUARANTEES AND WARRANTIES

- A. Refer to Division 01 and General Conditions for Guarantees and Warranties in addition to requirements specified herein.
- B. Each system and element thereof shall be warranted against defects due to faulty workmanship, design or material for a period of 12 months from date of Substantial Completion, unless specific items are noted to carry a longer warranty in the Construction Documents or manufacturer's standard warranty. The Contractor shall remedy defects occurring within a period of one year from the date of Substantial Completion or as stated in the General Conditions.
- C. The following additional items shall be guaranteed:
 - 1. Piping shall be free from obstructions, holes or breaks of any nature.
 - 2. Insulation shall be effective.
 - 3. Proper circulation of fluid in each piping system.

- D. The above guarantees shall include both labor and material; and repairs or replacements shall be made without additional cost to the Owner.
- E. The remedial work shall be performed promptly, upon written notice from the Architect or Owner.
- F. At the time of Substantial Completion, deliver to the Owner warranties with terms extending beyond the one year guarantee period, each warranty instrument being addressed to the Owner and stating the commencement date and term.

1.018 TEMPORARY FACILITIES

- A. Refer to Division 01 and General Conditions for Temporary Facilities requirements in addition to requirements specified herein.
- B. Temporary Utilities: The types of services required include, but are not limited to, water, sewerage, surface drainage and gas. When connecting to existing franchised utilities for required services, comply with service companies' recommendations on materials and methods, or engage service companies to install services. Locate and relocate services (as necessary) to minimize interference with construction operations.
 - 1. Provide the necessary backflow prevention devices where connecting to the potable water system. Protect water service from freezing by draining system or by providing adequate heat. Where non-potable water is used, mark each outlet with health hazard warning signs.
 - 2. Sewer Sediment: Maintain sewers and temporary connecting sewers in a clean, non-clogged condition during construction period.
- C. Construction Facilities: Provide facilities reasonably required to perform construction operations properly and adequately.
 - 1. Enclosures: When temporary enclosures are required to ensure adequate workmanship, weather protection and ambient conditions required for the work, provide fire-retardant treated lumber and plywood; provide tarpaulins with UL label and flame spread of 15 or less; provide translucent type (nylon reinforced polyethylene) where daylighting of enclosed space would be beneficial for workmanship, and reduce use of temporary lighting.
 - 2. Heating: Provide heat, as necessary, to protect work, materials and equipment from damage due to dampness and cold. In areas where building is occupied, maintain a temperature not less than 65 degrees Fahrenheit. Use steam, hot water, or gas from piped distribution system where available. Where steam, hot water or piped gas are not available, heat with self-contained LP gas or fuel oil heaters, bearing UL, FM or other approval labels appropriate for application. Vent fuel-burning heaters, and equip units with individual-space thermostatic controls. Use electric-resistance space heaters only where no other, more energy-efficient, type of heater is available and allowable.

1.019 PROJECT CONDITIONS

- A. Environmental Conditions: Apply joint sealers under temperature and humidity conditions within the limits permitted by the joint sealer manufacturer. Do not apply joint sealers to wet substrates.

PART 2 - PRODUCTS AND MATERIALS

2.01 SOIL MATERIALS

- A. Bedding Material: Provide clean sand, pea gravel or flowable fill material (per the geotechnical or structural engineer's recommendations).
- B. Subbase Material: Where applicable, provide natural soils with 10% by volume of rocks less than 2" diameter or artificially graded crushed aggregate. Corrosive fill materials shall not be utilized. When CL clay, rock, or gravel is used, it shall not be larger than 2 inches in any dimension and shall be free of debris, waste, frozen materials, vegetable and other deleterious matter.
- C. Filter Fabric: Flat needle punched PP or polyester fibers or combination of both, with flow rate range from 110 to 330 gpm/sq. ft. when tested according to ASTM D 4491.

PART 3 - EXECUTION

3.01 PERMITS

- A. Secure and pay for permits required in connection with the installation of the Mechanical Work. Arrange with the various utility companies for the installation and connection of required utilities for this facility and pay charges associated therewith including connection charges and inspection fees, except where these services or fees are designated to be provided by others.

3.02 EXISTING UTILITIES

- A. Schedule and coordinate with the Utility Company, Owner and with the Engineer connection to, or relocation of, or discontinuation of normal utility services from existing utility lines. Premium time required for any such work shall be included in the bid.
- B. Existing utilities damaged due to the operations of utility work for this project shall be repaired to the satisfaction of the Owner or Utility Company without additional cost.
- C. Utilities shall not be left disconnected at the end of a work day or over a weekend unless authorized by representatives of the Owner or Engineer.

- D. Repairs and restoration of utilities shall be made before workmen leave the project at the end of the workday in which the interruption takes place.
- E. Contractor shall include in their bid the cost of furnishing temporary facilities to provide services during interruption of normal utility service.

3.03 EXCAVATION AND BACKFILLING

- A. Refer to Division 01, Division 02, and Division 31, Geotechnical Soils Report and General Conditions for Excavation and Backfilling in addition to the requirements specified herein.
- B. Perform excavation of every description, of whatever substance encountered and to the depth required in connection with the installation of the work under this Division. Excavation and Trenching shall be in conformance with applicable Division and section of the General Specifications.
- C. Roads, alleys, streets and sidewalks damaged during this work shall be restored to the satisfaction of Authorities Having Jurisdiction.
- D. Trenches close to walks or columns shall not be excavated without prior consultation with the Architect.
- E. Erect barricades around excavations and trenches for safety. Provide an adequate number of amber lights on or near the work and keep them burning from dusk to dawn. Contractor shall be held responsible for any damage that any parties may sustain due to neglecting the necessary precautions when performing the work.
- F. Slope sides of excavations and trenches to comply with Geotechnical Report, local, state and federal codes and ordinances. Shore and brace as required for stability of excavation.
- G. Shoring and Bracing: Establish requirements for trench shoring and bracing to comply with local, state and federal codes and authorities. Maintain shoring and bracing in excavations and trenches regardless of time period excavations and trenches will be open.
 - 1. Remove shoring and bracing when no longer required. Where sheeting is allowed to remain, cut top of sheeting at an elevation of 30 inches below finished grade elevation.
- H. Install sediment and erosion control measures in accordance with local codes and ordinances.
- I. Dewatering of Excavation and Trenches: Prevent surface water and subsurface or ground water from flowing into excavations and trenches.
 - 1. Do not allow water to accumulate in excavation or trenches. Remove water to prevent softening of bearing materials. Provide and maintain dewatering

- system components necessary to convey water away from excavations and trenches.
2. Establish and maintain temporary drainage ditches and other diversions outside excavation and trench limits to convey surface water to collecting or run-off areas.
 3. Do not use trench excavations as temporary drainage ditches. In no case shall sewers be used as drains for such water.
- J. Material Storage: Stockpile satisfactory excavated materials where directed, until required for backfill or fill. Place, grade, and shape stockpiles for proper drainage.
1. Locate and retain soil materials away from edge of excavations and trenches. Do not store within drip-line of trees indicated to remain.
 2. Remove and legally dispose of excess excavated materials and materials not acceptable for use as backfill or fill.
- K. Trenching: Excavate trenches as follows:
1. Excavate trenches to the uniform width, sufficiently wide to provide ample working room and a minimum of 6 to 9 inches clearance on both sides of pipe and equipment.
 2. Excavate trenches to depth indicated or required to establish indicated slope and invert elevations. Beyond building perimeter, excavate trenches to an elevation below frost line.
 3. Limit the length of open trench to that in which pipe can be installed, tested, and the trench backfilled within the same day.
 4. Where rock is encountered, carry excavation below required elevation and backfill with a layer of sand or pea gravel prior to installation of pipe. Provide a minimum of 6 inches of sand or pea gravel cushion between rock bearing surface and pipe.
 5. Excavate trenches for piping and equipment with bottoms of trench to accurate elevations for support of pipe and equipment bedding on undisturbed soil.
- L. Cold Weather Protection: Protect excavation and trench bottoms against freezing when atmospheric temperature is less than 35°F.
- M. Bedding:
1. Fill bottom of pipe trench and fill unevenness with compacted bedding material to ensure continuous bearing of the pipe barrel on the bearing surface. Additional bedding installation requirements are in the following piping specifications. Compact bedding as described below.
 2. Fill bottom of equipment trench and fill unevenness with compacted sand backfill to ensure continuous bearing of the equipment on the bearing surface. Compact bedding as described below.

- N. Backfilling and Filling: Place soil materials in layers to required subgrade elevations for each area classification listed below, using materials specified in Part 2 of this Section.
1. Under pipes, use bedding materials in layers to 6 inches above top of the pipe.
 2. Under walks and pavements, use a combination of subbase materials and excavated or borrowed materials.
 3. Under building slabs, use subbase materials.
 4. Under piping and equipment, use bedding and subbase materials over rock bearing surface and for correction of unauthorized excavation.
 5. For piping less than 30 inches below surface of roadways, provide 4-inch-thick concrete protection slab. After installation and testing of pipes, provide a 4-inch thick concrete protection top slab prior to backfilling and placement of roadway subbase. Contractor shall coordinate with local AHJ as to requirements for colored concrete in this application.
 6. Other areas, use excavated or borrowed materials where applicable.
 7. Backfill excavations as promptly as work permits, but not until completion of the following:
 - a) Inspection, testing, approval, and locations of underground utilities have been recorded.
 - b) Removal of concrete formwork.
 - c) Removal of shoring and bracing, and backfilling of voids.
 - d) Removal of trash and debris.
 8. Where gravel fill (drainage fill) is used as building fill material in lieu of natural soils, provide filter fabric material to line the trench to support the bedding fill material and subgrade materials to ensure that backfill materials will not segregate within the trench nor create voids and sags within the pipe trench.
- O. Backfill excavations as promptly as work permits, but not until completion of the following:
1. Inspection, testing, approval, and locations of underground utilities have been recorded.
 2. Removal of concrete formwork.
 3. Removal of shoring and bracing, and backfilling of voids.
 4. Removal of trash and debris.
- P. Subgrade Placement and Compaction: Place subgrade backfill materials in maximum layers of not more than 8 inches in loose depth for material compacted by heavy equipment, and not more than 4 inches in loose depth for material compacted by hand-operated tampers.
- Q. Before compaction, moisten or aerate each layer as necessary to provide optimum moisture content. Compact each layer to required percentage of maximum dry density or relative dry density for each area classification specified below. Do not

place backfill or fill material on surfaces that are muddy, frozen, or contain frost or ice.

- R. Place backfill and fill materials evenly adjacent to structures, piping, and equipment to required elevations. Prevent displacement of piping and equipment by carrying material uniformly around them to approximately same elevation in each lift.
- S. Placement and Compaction: Place bedding backfill materials in maximum layers of not more than 6 inches loose depth for material compacted by hand-operated tampers. Place subbase backfill materials in maximum layers of not more than 8 inches in loose depth for material compacted by heavy equipment, and not more than 4 inches in loose depth for material compacted by hand-operated tampers. Control soil compaction during construction, providing minimum percentage of density specified for each area classification indicated below.
 - 1. Use of pneumatic backhoe as compaction method is disallowed as an acceptable process for compaction of excavations or trenches.
 - 2. For vertical and/or diagonal pipe installations greater than ½” rise/lf, thoroughly support pipes from permanent concrete structures or undisturbed earth at no less than 10-foot intervals, while placing backfill materials, so that pipes are not deflected, crushed, broken, or otherwise damaged by the backfill placement or settlement.
 - 3. Before compaction, moisten or aerate each layer as necessary to provide optimum moisture content. Compact each layer to required percentage of maximum dry density or relative dry density for each area classification specified below. Do not place backfill or fill material on surfaces that are muddy, frozen, or contain frost or ice.
 - 4. Place backfill and/or drainage fill materials evenly adjacent to structures, piping, and equipment to required elevations. Coordinate with Architect and/or Civil Engineer backfill requirements prior to installation. Prevent displacement of pipes and equipment by carrying material uniformly around them to approximately same elevation in each layer or lift.
 - 5. Compaction: control soil compaction during construction, providing minimum percentage of density specified for each area classification indicated below:
 - 6. Percentage of maximum density requirements: Compact soil to not less than the following percentages of maximum density for soils which exhibit a well-defined moisture-density relationship (cohesive soils), determined in accordance with ASTM D 1557 or ASTM D 698 and not less than the following percentages of relative density, determined in accordance with ASTM D 4253, for soils which will not exhibit a well-defined moisture-density relationship (cohesionless soils).
 - a) Areas under Structures, Building Slabs and Steps, Pavements: Compact top 12 inches of subgrade and each layer of backfill or fill material to 95 percent maximum density for cohesive material listed, or 95 percent relative density for cohesionless material.

- b) Areas Under Walkways: Compact top 6 inches of subgrade and each layer of backfill or fill material to 95 percent maximum density for cohesive material, or 95 percent relative density for cohesionless material.
 - c) Other Areas: Compact top 6 inches of subgrade and each layer of subbase backfill or fill material to 90 percent maximum density for cohesive soils, and 90 percent relative density for cohesionless soils.
- T. Subsidence: Where subsidence occurs at mechanical installation excavations and trenches during the period 12 months after Substantial Completion, remove surface treatment (i.e., pavement, lawn, or other finish), add backfill material, compact to specified conditions, and replace surface treatment. Restore appearance, quality, and condition of surface or finish to match adjacent areas.

3.04 CLEANING

- A. Dirt and refuse resulting from the performance of the work shall be removed from the premises as required to prevent accumulation. The Mechanical Contractor shall cooperate in maintaining reasonably clean premises at all times.
- B. Immediately prior to the final inspection, the Mechanical Contractor shall clean material and equipment installed under the Mechanical Contract. Dirt, dust, plaster, stains, and foreign matter shall be removed from surfaces including components internal to equipment. Damaged finishes shall be touched-up and restored to their original condition.

3.05 SUBSTANTIAL COMPLETION REVIEW

- A. Prior to requesting inspection for "CERTIFICATE OF SUBSTANTIAL COMPLETION", the Contractor shall complete the following items:
 - 1. Submit complete Operation and Maintenance Manuals.
 - 2. Submit complete Record Drawings.
 - 3. Perform special inspections as required in each individual Section.
 - 4. Start-up testing of systems.
 - 5. Removal of temporary facilities from the site.
 - 6. Comply with requirements for Substantial Completion in the "General Conditions".
- B. The Contractor shall request in writing a review for Substantial Completion. The Contractor shall give the Architect/Engineer at least seven (7) days notice prior to the review.
- C. The Contractor's written request shall state that the Contractor has complied with the requirements for Substantial Completion.
- D. Upon receipt of a request for review, the Architect/Engineer will either proceed with the review or advise the Contractor of unfulfilled requirements.

- E. If the Contractor requests a site visit for Substantial Completion review prior to completing the above mentioned items, the Contractor shall reimburse the Architect/Engineer for time and expenses incurred for the visit.
- F. Upon completion of the review, the Architect/Engineer will prepare a "final list" of outstanding items to be completed or corrected for final acceptance.
- G. Omissions on the "final list" shall not relieve the Contractor from the requirements of the Contract Documents.
- H. Prior to requesting a final review, the Contractor shall submit a copy of the final list of items to be completed or corrected. The Contractor shall state in writing that each item has been completed, resolved for acceptance or the reason it has not been completed.

END OF SECTION

SUBSTITUTION REQUEST FORM

To Project Engineer: _____ Request # (GC Determined): _____

Project Name: _____

Project No/Phase: _____ Date: _____

Specification Title: _____

Section Number: _____ Page: _____ Article/Paragraph: _____

Proposed Substitution: _____

Manufacturer: _____ Model No.: _____

Address: _____ Phone: _____

History: New product 1-4 years old 5-10 years old More than 10 years old

Differences between proposed substitution and specified Work: _____

Point-by-point comparative data attached – REQUIRED BY ENGINEER

Comparative data may include but not be limited to performance, certifications, weight, size, durability, visual effect, sustainable design characteristics, warranties, and specific features and requirements. Include all information necessary for an evaluation.

Supporting Data Attached: Drawings Product Data Samples
 Tests Reports Other: _____

Reason for not providing specified item: _____

Similar Installation:

Project: _____ Architect: _____

Address: _____ Owner: _____

_____ Date Installed: _____

Proposed substitution affects other parts of Work: No Yes; explain: _____

Substitution Certification Statement:

Unless stated otherwise in writing to the Engineer by the Contractor, Contractor warrants to the Engineer, Architect, and Owner that the:

- ▲ A. Proposed substitution has been fully investigated and determined to meet or exceed the specified Work in all respects.
- B. Proposed substitution is consistent with the Contract Documents and will produce indicated results.
- C. Proposed substitution does not affect dimensions and functional clearances.
- D. Proposed substitution has received necessary approvals of authorities having jurisdiction.
- E. Same warranty will be furnished for proposed substitution as for specified Work.
- F. Same maintenance service and source of replacement parts, as applicable, is available.
- G. Proposed substitution will not adversely affect other trades or delay construction schedule.
- H. Coordination, installation, and changes in the Work as necessary for accepted substitution will be complete in all respects.

Submitting Contractor Date Company

Manufacturer's Certification of Equal Quality:

I _____ represent the manufacturer of the Proposed Substitution item and hereby certify and warrant to Architect, Engineer, and Owner that the function and quality of the Proposed Substitution meets or exceeds the Specified Item.

Manufacturer's Representative Date Company

Engineer Review and Recommendation Section

Recommend Acceptance Yes No
Additional Comments: Attached None

Acceptance Section:

Contractor Acceptance Signature Date Company

Owner Acceptance Signature Date Company

Architect Acceptance Signature Date Company

Engineer Acceptance Signature Date Company